



**IN THE UNITED STATES PATENT AND TRADEMARK OFFICE**

In re application of: NANDY *et al*

Appl. No.: 10/708402

Art Unit: UNASSIGNED

Filed: 2004-03-01

Examiner: UNASSIGNED

For: Reliably Continuing A Secure Connection  
When The Address of a Machine at One End  
of the Connection Changes

Atty. Docket: CSCO-034/7084

**TRANSMITTAL LETTER**

Honorable Commissioner of  
Patents and Trademarks  
Washington, D.C. 20231

Sir:

In connection with the above-referenced U. S. patent application, transmitted herewith are the following papers:

- [x] This Cover Letter (2 Pages in duplicate);
- [x] Information Disclosure Statement (2 Pages);
- [x] PTO-1449 form (2 Pages);
- [x] A copy of the documents cited in PTO-1449; and
- [x] Return Postcard - It is respectfully requested that the post-card be date-stamped and returned with the courier.

The Commissioner is hereby authorized to charge underpayment of any additional fees or credit any overpayment associated with this communication or any future or past communication associated with the subject matter to Deposit Account No.: 20-0674. If any extensions of time are

required to prevent abandonment of the present application, then such extensions of time are hereby petitioned, and any fees therefor are hereby authorized to be charged to Deposit Account No.: 20-0674. A duplicate copy of this authorization is enclosed

Respectfully submitted,

Date: March 18, 2004

By: Naren Thappeta  
Narendra Reddy Thappeta  
Registration No. 41,416

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**INFORMATION DISCLOSURE STATEMENT**

Honorable Commissioner for Patents  
Alexandria, VA 22313-1450

Sir:

Listed below on an attached Form PTO-1449 is information known to applicant(s). A copy of each listed publication and U.S. and foreign patent, except for pending U.S. applications, is being submitted herewith, along with a concise explanation of information in a foreign language, if any, pursuant to 37 C.F.R. §1.97-1.98.

Applicants respectfully request that the listed information be considered by the Examiner and be made of record in the above-identified application. The Examiner is requested to initial and return it in accordance with MPEP §609.

This statement is not intended to represent that the information cited in the statement is, or is considered to be, material to patentability as defined in §1.56. Applicant reserves the right to establish patentability of the claimed invention over any of the information provided herewith, and/or to prove that this information may not be prior art, and/or to prove that this information is not enabling for the teachings purportedly offered.

This statement qualifies under 37 C.F.R. §1.97, subsection (b) because (check all that apply):

- (1) It is being filed within 3 months of the application filing date; OR  
 (2) It is being filed within 3 months of entry of a national stage; OR  
 (3) It is being filed before the mail date of the first Office Action on the merits.

— 37 C.F.R. §1.97(c). If this statement is being filed after the latest of: (1) three months beyond the filing date of a national application; (2) three months beyond the date of entry of the national stage as set forth in §1.491 in an international application; or (3) the mailing date of a first Office action on the merits, but before the mailing date of the earlier of a final office action under §1.113 or a notice of allowance under §1.311, then:

- a certification as specified in §1.97(e) is provided below; **or**  
— a fee of \$180.00 as set forth in §1.17(p) is authorized below, enclosed, or included with the payment of other papers filed together with this statement.

— 37 C.F.R. §1.97(d). If this statement is being filed after the mailing date of the earlier of a final office action under §1.113 or a notice of allowance under §1.311, but before payment of the issue fee, then:

- A. a certification as specified in §1.97(e) is submitted herewith; **and**  
B. a petition under 37 C.F.R. §1.97(d) requesting consideration of this statement is submitted herewith; **and**  
C. a fee of \$130.00 as set forth in §1.17(i)(1) is authorized below, enclosed, or included with the payment of other papers filed together with this statement.

Respectfully submitted,

Date: March 20, 2004

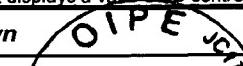
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NRT/df  
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Substitute for form 1449A/PTO				Complete if Known	
<b>INFORMATION DISCLOSURE STATEMENT BY APPLICANT</b>				Application Number	10/708402
(use as many sheets as necessary)				Filing Date	2004-03-01
				First Named Inventor	NANDY <i>et al</i>
				Group Art Unit	
				Examiner Name	
Sheet	1	of	2	Attorney Docket Number	CSCO-034/7084


  
 MAR 9 2006

## **U.S. PATENT DOCUMENTS**

## **FOREIGN PATENT DOCUMENTS**

Examiner Signature	/Jenise Jackson/ (02/17/2009)	Date Considered	02/17/2009
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**\*EXAMINER:** Initial reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

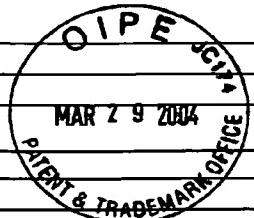
<sup>1</sup> Unique citation designation number. <sup>2</sup> See attached Kinds of U.S. Patent Documents. <sup>3</sup> Enter Office that issued the document, by the two-letter code (WIPO Standard ST.3). <sup>4</sup> For Japanese patent documents, the indication of the year of the reign of the Emperor must precede the serial number of the patent document. <sup>5</sup> Kind of document by the appropriate symbols as indicated on the document under WIPO Standard ST.16 if possible. <sup>6</sup> Applicant is to place a check mark here if English language Translation is attached.

**Burden Hour Statement:** This form is estimated to take 2.0 hours to complete. Time will vary depending upon the needs of the individual case. Any comments on the amount of time you are required to complete this form should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, Washington, DC 20231. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Assistant Commissioner for Patents, Washington, DC 20231.

ALL REFERENCES CONSIDERED EXCEPT WHERE LINED THROUGH. /JJ/

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Substitute for form 1449B/PTO		<i>Complete if Known</i>		
<b>INFORMATION DISCLOSURE STATEMENT BY APPLICANT</b> <i>(use as many sheets as necessary)</i>		Application Number	10/708402	
		Filing Date	2004-03-01	
		First Named Inventor	NANDY <i>et al</i>	
		Group Art Unit		
		Examiner Name		
Sheet	2	of	2	Attorney Docket Number
CSCO-034/7084				



OTHER REFERENCES - NON PATENT LITERATURE DOCUMENTS			
Examiner Initials*	Cite No. <sup>1</sup>	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published.	
		DUPONT, et al. ; Entitled,"Request for Comments: 2026 - Address Management for IKE version 2"; January 2003; Available from <a href="http://www.ietf.org">www.ietf.org</a> ; (10 Pages)	
		DUPONT, et al. ; Entitled,"Request for Comments: 2026 - Address Management for IKE version 2"; June 2003; Available from <a href="http://www.ietf.org">www.ietf.org</a> ; (12 Pages)	
		MAUGHAN, et al. ; Entitled,"Request for Comments: 2048 -Internet Security Association and Key Management Protocol (ISAKMP)"; November 1998; Available from <a href="http://www.ietf.org">www.ietf.org</a> ; (82 Pages)	
		HARKINS, et al. ; Entitled,"Request for Comments: 2048 -The Internet Key Exchange (IKE)"; November 1998; Available from <a href="http://www.ietf.org">www.ietf.org</a> ; (86 Pages)	
		KENT, et al. ; Entitled, "Request for Comments: 2041 -Security Architecture for the Internet Protocol"; November 1998; Available from <a href="http://www.ietf.org">www.ietf.org</a> ; (66 Pages).	

Examiner Signature	/Jenise Jackson/ (02/17/2009)	Date Considered	02/17/2009
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\*EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

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